

Customer Privacy Policy Notice

Patriot Asset Advisors LLC

In November of 1999, Congress enacted the Gramm-Leach-Bliley Act (GLBA). The GLBA requires certain financial institutions, such as investment adviser firms, to protect the privacy of customer information. In situations where a financial institution does disclose customer information to non-affiliated third parties, other than permitted or required by law, customers must be given the opportunity to opt out or prevent such disclosure. ***Patriot Asset Advisors LLC*** does not share or disclose customer information to non-affiliated third parties except as permitted or required by law.

Patriot Asset Advisors LLC is committed to safeguarding the confidential information of its clients. ***Patriot Asset Advisors LLC*** holds all personal information provided by clients in the strictest confidence and it is the objective of ***Patriot Asset Advisors LLC*** to protect the privacy of all clients. Except as permitted or required by law, ***Patriot Asset Advisors LLC*** does not share confidential information about clients with non-affiliated parties. In the event that there were to be a change in this policy, ***Patriot Asset Advisors LLC*** will provide clients with written notice and clients will be provided an opportunity to direct ***Patriot Asset Advisors LLC*** as to whether such disclosure is permissible.

To conduct regular business, ***Patriot Asset Advisors LLC*** may collect personal information from sources such as:

- Information reported by the client on application or other forms the client provides to ***Patriot Asset Advisors LLC***
- Information about the client's transactions implemented by ***Patriot Asset Advisors LLC*** or others
- Information developed as part of financial plans, analyses or investment advisory services

To administer, manage, service and provide related services for client accounts, it is necessary for ***Patriot Asset Advisors LLC*** to provide access to customer information within the firm and to non-affiliated companies, ***Foliofn Investments, Inc. and SEI Private Trust Company***, with whom ***Patriot Asset Advisors LLC*** has entered into agreements. To provide the utmost service, ***Patriot Asset Advisors LLC*** may disclose the information below regarding customers and former customers, as necessary, to companies to perform certain services on ***Patriot Asset Advisors LLC***'s behalf.

- Information ***Patriot Asset Advisors LLC*** receives from the client on applications (name, Social Security number, address, assets, etc.)
- Information about the client's transactions with ***Patriot Asset Advisors LLC*** or others (account information, payment history, parties to transactions, etc.)
- Information concerning investment advisory account transactions

- Information about a client's financial products and services transaction with *Patriot Asset Advisors LLC*

Since *Patriot Asset Advisors LLC* shares non-public information solely to service client accounts, *Patriot Asset Advisors LLC* does not disclose any non-public personal information about *Patriot Asset Advisors LLC*'s customers or former customers to anyone, except as permitted by law. However, *Patriot Asset Advisors LLC* may also provide customer information outside of the firm as required by law, such as to government entities, consumer reporting agencies or other third parties in response to subpoenas. In the event that *Patriot Asset Advisors LLC* has a change to its customer privacy policy that would allow it to disclose non-public information not covered under applicable law, *Patriot Asset Advisors LLC* will allow its clients the opportunity to opt out of such disclosure.

Permission to share information with a non-affiliated third party:

State law prohibits *Patriot Asset Advisors LLC* from sharing any of your personal information with a third party without your permission. You have a right not to permit us to share this information with anyone else. As a registered investment advisor, in order for us to provide financial services and service your accounts, information must be shared with our Third Party providers. At account opening an agreement to this policy was initialed and signed by the account owner(s) or legal designee.